

FORM PTO-1595

(Rev. 3/01)

OMB No. 0651-0027 (exp. 5/31/02)

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Hugh S. Mason**

2. Name and address of receiving party(ies)

Name: **Boyce Thompson Institute for Plant Research, Inc.**Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other _____	

Execution Date: **July 19, 2002**

Internal Address:

Street Address: **Tower Road**City: **Ithaca** State: **New York** Zip: **14853-1801**Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s):

**09/420,695**

B. Patent No.(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:

Name: **Dunn & Associates**7. Total fee (37 CFR 3.41).....\$ **40.00**Internal Address: **P.O. Box 10** EnclosedStreet Address: **same as above** Authorized to be charged to deposit accountCity: **Newfane** State: **NY** ZIP: **14108**

8. Deposit account number:

**04-1790**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document; however, the above information is not to be used to interpret the document which speaks for itself.*

**Michael L. Dunn**  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

## ASSIGNMENT OF INVENTION

WHEREAS, I, Hugh S. Mason residing at 1311 Hanshaw Road, Ithaca, New York 14850, have invented certain new and useful improvements in:

### **ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING HEPATITIS SURFACE ANTIGEN**

for which we have made application for Letters Patent in the United States as U.S. Serial No. 09/420,695 filed October 19, 1999;

AND WHEREAS, BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., Tower Road, Ithaca, New York 14853-1801, organized in the State of New York, is desirous of acquiring all interest of the above-named inventor in said invention, said application disclosing the invention and any Letters Patent which may be granted therefor:

NOW THIS INDENTURE WITNESSETH, that for the sum of One Dollar, and other valuable consideration to me in hand paid, the receipt whereof is hereby acknowledged, I hereby assign, sell and transfer unto said BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC. my entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto;

And I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., as the Assignee of my whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., or its designee as BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC. or its Assignee may from time-to-time present to me in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

WITNESS, my hand and seal this 19 day of July, 2002

WITNESS:

Nancy L Kelley

Hugh S. Mason

Hugh S. Mason

WITNESS:

Patricia K. Mason

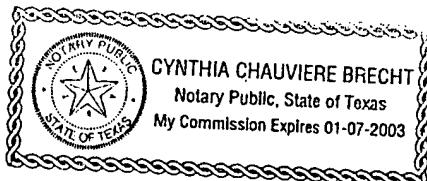
STATE OF Texas

SS:

COUNTY OF Brazoria

On this 19 day of July, in the year of 2002, before me, the subscriber, personally appeared Hugh S. Mason to me known to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same.

[SEAL]



CYNTHIA CHAUVIRE BRECHT  
Notary Public, State of Texas  
My Commission Expires 01-07-2003

Cynthia Brecht  
Notary Public

ORDER NUMBER	495851
REFERENCE ORDER NUMBER	0
BIN NUMBER	0

Page 1 of 1



#### DELIVERY INSTRUCTIONS

ORDER DATE/TIME	PALM NUMBER	CUSTOMER NUMBER	CONTACT PHONE NUMBER	PAYMENT METHOD	TOTAL COST OF ORDER
2001/11/21 11:18:00		IDON335517	(716) 433-1661	DEPOSIT ACCOUNT	\$ 25.00

#### BROADCAST MESSAGE

SEASONS GREETINGS The U.S. Patent & Trademark Office will be closed December 25, 2001 and January 1, 2002. If you have questions, please contact our Customer Service Department at (703) 308-9700 or 1-800-972-5382.

#### ORDER INFORMATION

ORDER LINE	PRODUCT TYPE	DOCUMENT IDENTIFIER	COPIES	STATUS	CUSTOMER REFERENCE
1	P1	09420695	1	FILLED	RPP:156A US



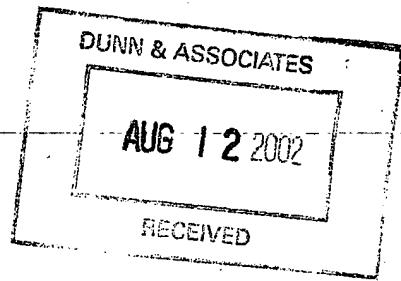

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**REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant(s): Yasmin Thanavala, et al.

Serial No: 09/420,695

Filed: October 19, 1999

- (1) Patent Recordation Form Cover Sheet
- (1) Assignment for Recordal
- (1) Check in the amount of \$40.00
- (1) Certificate of Mailing by First Class Mail
- (1) Postcard for acknowledgment

PP:156A US

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**REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant(s): Yasmin Thanavala, et al.

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**DUNN AND ASSOCIATES**

716-433-1661  
P O BOX 10  
NEWFANE, NY 14108

5530

10-2/220

DATE July 29, 2002

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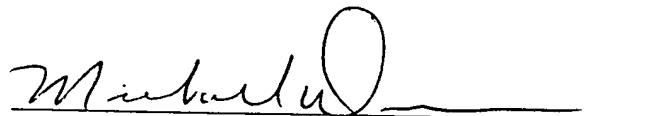
FOR Assgn Recordal USSN 420,695 RPP:156A US

"005530" 0220000201 844 17930 20

Docket No. RPP:156A US

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I certify that this Assignment for recordal is being deposited on July 29, 2002 with the U.S. Postal Service as first class mail addressed to the Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

  
\_\_\_\_\_  
Michael L. Dunn  
Registration No. 25,330